



FORM PTO-1449	SERIAL NO. Not Yet Assigned	CASE NO. 8465/40
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE October 15, 2003	GROUP ART UNIT Not Yet Assigned
(use several sheets if necessary)		APPLICANT(S): Mogensen et al.

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>mlh</i>	A1	6,293,925 B1	09/25/2001	Safabash et al.		
	A2	6,099,503	08/08/2000	Stradella		
	A3	6,093,172	07/25/2000	Funderburk et al.		
	A4	6,090,068	07/18/2000	Chanut		
	A5	6,017,328	01/25/2000	Fischell et al.		
	A6	5,851,197	12/22/1998	Marano et al.		
	A7	5,741,288	04/21/1998	Rife		
	A8	5,681,323	10/28/1997	Arick		
	A9	5,665,071	09/09/1997	Wyrick		
	A10	5,599,315	02/04/1997	McPhee		
	A11	5,591,188	01/07/1997	Waisman		
	A12	5,584,813	12/17/1996	Livingston et al.		
	A13	5,540,709	07/30/1996	Ramel		
	A14	5,391,151	02/21/1995	Wilmot		
	A15	5,350,392	09/27/1994	Purcell et al.		
	A16	5,300,030	04/05/1994	Crossman et al.		
	A17	5,269,799	12/14/1993	Daniel		
	A18	5,147,375	09/15/1992	Sullivan et al.		
	A19	5,141,496	08/25/1992	Dalto et al.		
	A20	Re 32,922	05/16/1989	Levin et al.		
	A21	4,817,603	04/04/1989	Turner et al.		
<i>✓</i>	A22	4,530,695	07/23/1985	Phillips et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
<i>mlh</i>	A23	WO 98/58693	12/30/1998	PCT		
<i>mlh</i>	A24	WO 99/33504	07/08/1999	PCT		

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

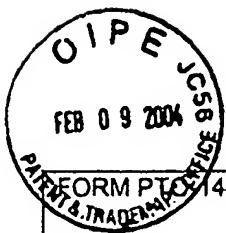
EXAMINER INITIAL	(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.					
	A25					
	A26					

EXAMINER <i>mmh/An</i>	DATE CONSIDERED <i>11/28/05</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. Dec.-99

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FORM PTO 1449	SERIAL NO. 10/687,568	CASE NO. 8465/40 P200101243 US2 TSU-/MLR
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE October 15, 2003	GROUP ART UNIT 3763
APPLICANT(S): Mogensen et al.		

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
mlh	B1	5,257,980	11/1993	Van Antwerp et al.		
	B2	5,176,662	01/1993	Bartholomew et al.		
	B3	4,755,173	07/1988	Konopka et al.		
	B4	5,248,301	09/1993	Koenig et al.		
	B5	5,968,011	10/1999	Larsen et al.		
	B6	5,545,152	08/1996	Funderburk et al.		
	B7	6,056,718	05/2000	Funderburk et al.		
	B8	6,355,021	03/2002	Nielsen et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

OTHER ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL		(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER <i>mm</i>	DATE CONSIDERED <i>11/28/05</i>
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>mlh.</i>	C1	643,544	2/13/1900	Simmons		
	C2	1,838,825	1/31/1929	Goldstein		
	C3	1,991,103	2/12/1935	King		
	C4	2,047,010	7/7/1936	Dickinson		
	C5	2,295,849	9/15/1942	Kayden		
	C6	2,319,731	5/18/1943	Garrett		
	C7	2,533,731	12/12/1950	Gomberg		
	C8	2,630,803	3/10/1953	Baran		
	C9	2,730,099	1/10/1956	Sullivan		
	C10	3,154,080	10/27/1964	Rowan et al.		
	C11	3,610,240	10/5/1971	Harautuneian		
	C12	3,783,996	1/8/1974	Gerard et al.		
	C13	3,814,097	6/4/1974	Ganderton et al.		
	C14	3,840,011	10/8/1974	Wright		
	C15	3,942,528	3/9/1976	Loeser		
	C16	4,014,328	3/29/1977	Cluff et al.		
	C17	4,267,836	5/19/1981	Whitney et al.		
	C18	D267,199	12/7/1982	Koenig		
	C19	4,365,630	12/28/1982	McFarlane		
	C20	4,517,971	5/21/1985	Sorbonned		
	C21	4,531,686	7/30/1985	Shaw		
	C22	4,616,790	10/14/1986	Beltran		
	C23	4,637,404	1/20/1987	Gessman		
	C24	4,682,702	7/28/1987	Gach		
	C25	4,713,059	12/15/1987	Bickelhaupt et al.		
	C26	4,734,092	3/29/1988	Millerd		
	C27	4,802,638	2/7/1989	Burger et al.		
	C28	4,838,871	6/13/1989	Luther		
	C29	4,850,974	7/25/1989	Bickelhaupt et al.		
	C30	4,878,897	11/7/1989	Katzin		
	C31	D306,500	3/6/1990	Brahler		
	C32	4,913,369	4/3/1990	Lia et al.		
	C33	4,917,669	4/17/1990	Bonaldo		
	C34	4,978,338	12/18/1990	Melsky et al.		
	C35	4,982,842	1/8/1991	Hollister		
	C36	4,994,045	2/19/1991	Ranford		
	C37	5,011,475	4/30/1991	Olsen		
✓	C38	5,098,389	3/24/1992	Cappucci		

EXAMINER <i>Mmlh.</i>	DATE CONSIDERED <i>11/28/05</i>
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EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>Mkh.</i>	C39	5,112,313	5/12/1992	Sallee		
	C40	5,116,324	5/26/1992	Brierley et al.		
	C41	5,116,325	5/26/1992	Paterson		
	C42	5,121,751	6/16/1992	Panalletta		
	C43	5,147,319	9/15/1992	Ishikawa et al.		
	C44	5,161,681	11/10/1992	Kemp et al.		
	C45	5,163,915	11/17/1992	Holleron		
	C46	5,188,314	2/23/1993	Peters		
	C47	5,188,611	2/23/1993	Orgain		
	C48	RE 34,223	4/13/1993	Bonaldo		
	C49	5,222,947	6/29/1993	D'Amico		
	C50	5,232,454	8/3/1993	Hollister		
	C51	5,236,143	8/17/1993	Dragon		
	C52	5,240,199	8/31/1993	Peters		
	C53	5,256,152	10/26/1993	Marks		
	C54	5,265,822	11/30/1993	Shober, Jr. et al.		
	C55	5,279,579	1/18/1994	D'Amico		
	C56	5,279,591	1/18/1994	Simon		
	C57	5,312,359	5/17/1994	Wallace		
	C58	5,312,369	5/17/1994	Arcusin et al.		
	C59	5,376,082	12/27/1994	Phelps		
	C60	5,384,174	1/24/1995	Ward et al.		
	C61	5,403,288	4/4/1995	Stanners		
	C62	5,405,332	4/11/1995	Opalek		
	C63	5,429,613	7/4/1995	D'Amico		
	C64	5,449,349	9/12/1995	Sallee et al.		
	C65	5,487,506	1/30/1996	Drummond et al.		
	C66	5,490,841	2/13/1996	Landis		
	C67	5,505,709	4/9/1996	Funderburk et al.		
	C68	5,507,730	4/16/1996	Haber et al.		
	C69	5,519,167	5/21/1996	Kunimoto et al.		
	C70	5,520,654	5/28/1996	Wahlberg		
	C71	5,522,803	6/4/1996	Teisson-Simony		
	C72	5,533,974	7/9/1996	Gaba		
	C73	5,545,152	08/1996	Funderburk et al.		
	C74	5,545,143	8/13/1996	Fischell		
	C75	5,554,130	9/10/1996	McDonald et al.		
	C76	5,562,636	10/8/1996	Utterberg		
	C77	5,599,318	2/4/1997	Sweeney et al.		

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EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>msh</i>	C78	5,643,220	7/1/1997	Cosme		
	C79	5,662,617	9/2/1997	Odell et al.		
	C80	5,665,075	9/9/1997	Gyure et al.		
	C81	5,695,476	12/9/1997	Harris		
	C82	5,704,920	1/6/1998	Gyure		
	C83	5,714,225	2/3/1998	Hansen et al.		
	C84	5,752,923	5/19/1998	Terwilliger		
	C85	5,810,835	9/22/1998	Ryan et al.		
	C86	D402,538	12/15/1998	Wagter et al.		
	C87	5,865,806	2/2/1999	Howell		
	C88	5,873,540	2/23/1999	Hardin		
	C89	5,899,886	5/4/1999	Cosme		
	C90	5,915,640	6/29/1999	Wagter et al.		
	C91	5,925,032	7/20/1999	Clements		
	C92	5,947,935	9/7/1999	Rinehart et al.		
	C93	5,951,523	9/14/1999	Osterlind et al.		
	C94	5,957,892	9/28/1999	Thorne		
	C95	5,980,488	11/9/1999	Thorne		
	C96	5,984,224	11/16/1999	Yang		
	C97	5,984,897	11/16/1999	Peterson et al.		
	C98	5,992,787	11/30/1999	Burke		
	C99	6,039,629	3/21/2000	Mitchell		
	C100	6,045,533	4/4/2000	Kriesel et al.		
	C101	6,086,008	7/11/2000	Gray et al.		
	C102	6,093,179	7/25/2000	O'Hara et al.		
	C103	6,120,482	9/19/2000	Szabo		
	C104	6,123,690	9/26/2000	Mejslov		
	C105	6,132,755	10/17/2000	Eicher et al.		
	C106	6,219,574	4/17/2001	Cormier et al.		
	C107	6,248,093	6/19/2001	Moberg		
	C108	2001/0004970	6/28/2001	Hollister et al.		
	C109	2001/0021827	9/13/2001	Ferguson et al.		
	C110	6,302,866	10/16/2001	Marggi		
	C111	2001/0039401 A1	11/8/2001	Ferguson et al.		
	C112	6,322,535	11/27/2001	Hitchins et al.		
	C113	6,322,808	11/27/2001	Trautman et al.		
	C114	6,334,856	1/1/2002	Allen et al.		
	C115	6,379,335	4/30/2002	Rigore et al.		
✓	C116	D456,692	5/7/2002	Epstein		

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EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>msh</i>	C117	6,387,076	5/14/2002	Van Lunduyt		
	C118	2002/068904 A1	6/6/2002	Pluth et al.		
	C119	2002/0072720	6/13/2002	Hague et al.		
	C120	2002/0111581 A1	8/15/2002	Sasso		
	C121	2002/0145073 A1	10/10/2002	Swanson		
	C122	2002/0156427	10/24/2002	Suzuki et al.		
	C123	2002/0161332	10/31/2002	Ramey		
	C124	2002/0169419	11/14/2002	Steg		
	C125	6,488,663	12/3/2002	Steg		
	C126	2002/0183688 A1	12/5/2002	Lastovich et al.		
	C127	2002/0193744 A1	12/19/2002	Alesi et al.		
	C128	6,520,938	2/18/2003	Funderburk et al.		
	C129	D472,316	3/25/2003	Douglas et al.		
	C130	D472,630	4/1/2003	Douglas et al.		
	C131	2003/0069548 A1	4/10/2003	Connelly et al.		
	C132	6,572,586	6/3/2003	Wojcik		
	C133	2003/0109829	6/12/2003	Mogensen et al.		
	C134	6,579,267 B2	6/27/2003	Lynch et al.		
	C135	2003/0125669	7/3/2003	Safabash et al.		
	C136	2003/0125678	7/3/2003	Swenson et al.		
	C137	2003/0130619	7/10/2003	Safabash et al.		
	C138	6,595,962	7/22/2003	Perthu		
	C139	2003/0139704 A1	7/24/2003	Lin		
	C140	6,607,509	8/19/2003	Bobroff et al.		
	C141	6,607,511	8/19/2003	Bobroff et al.		
	C142	2003/0158520	8/21/2003	Safabash et al.		
	C143	2003/0176843	9/18/2003	Wilkinson		
	C144	2003/0181863	9/25/2003	Davis et al.		
	C145	2003/0181868 A1	9/25/2003	Swenson		
	C146	2003/0181873 A1	9/25/2003	Swenson		
	C147	2003/0187394 A1	10/2/2003	Wilkinson et al.		
	C148	2003/0187395 A1	10/2/2003	Wilkinson et al.		
	C149	6,629,949	10/7/2003	Douglas		
	C150	2003/0199823	10/23/03	Bobroff et al.		
	C151	2003/0225373	12/4/2003	Bobroff et al.		
	C152	2003/0225374 A1	12/4/2003	Mathiasen		
	C153	2003/0229316 A1	12/11/2003	Hwang et al.		
	C154	2004/0002682	1/1/2004	Kovelman et al.		
✓	C155	2004/0044306 A1	3/4/2004	Lynch et al.		

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<i>mlh</i>	C156	2004/0087913	3/6/2004	Rogers et al.		
	C157	2004/0049159 A1	3/11/2004	Barrus et al.		
	C158	2004/0068231	4/8/2004	Blondeau		
	C159	6,726,649	4/27/2004	Swenson et al.		
	C160	2004/0111068 A1	6/10/2004	Swenson		
	C161	2004/0112781	6/17/2004	Hofverberg et al.		
	C162	2004/0138620 A1	7/15/2004	Douglas et al.		
	C163	2004/0143218	7/22/2004	Das		
	C164	2004/0158202	8/12/2004	Jensen		
	C165	2004/0158207	8/12/2004	Hunn et al.		
	C166	2004/0171989	9/2/2004	Horner et al.		
	C167	2004/0178098	9/16/2004	Swenson et al.		
	C168	2004/0186446 A1	9/23/2004	Ohshima		
	C169	2004/0204687	10/14/2004	Mogensen et al.		
	C170	6,811,545	11/2/2004	Vaillancourt		
	C171	2004/0220528 A1	11/4/2004	Garcia, Jr.		
	C172	6,814,720	11/9/2004	Olsen et al.		
	C173	6,824,530 B2	11/30/2004	Wagner et al.		
	C174	6,824,531 B2	11/30/2004	Zecha, Jr. et al.		
	C175	2004/0238392	12/2/2004	Peterson et al.		
	C176	6,830,562	12/14/2004	Mogensen et al.		
	C177	2004/0254433	12/16/2004	Bandis et al.		
	C178	2004/0260235 A1	12/23/2004	Douglas		
✓	C179	2004/0260250 A1	12/23/2004	Harris et al.		

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EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
<i>mlh</i>	C180	DE 37 15 965 A	1/14/1988	Germany		No
	C181	DE 196 31 921	3/13/1997	Germany		Yes
	C182	DE 19847143 A1	1/27/2000	Germany		No
	C183	EP 0 239 244 B1	2/2/1987	Europe		
	C184	EP 0 475 857	3/18/1992	Europe		No
	C185	EP 744 183 A2	11/1996	Europe		
	C186	EP 0 931 560 A1	7/28/1999	Europe		
	C187	EP 1 086 718 A	3/28/2001	Europe		
	C188	EP 0 956 879 A1	7/7/2004	Europe		No
✓	C189	FR 2,725,902	10/1994	France		Abstract

EXAMINER <i>mmh/jn</i>	DATE CONSIDERED <i>11/28/05</i>
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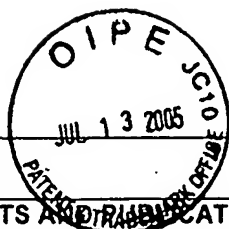
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EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
<i>meh.</i>	C190	FR 2,733,915 A1	11/15/1996	France		No
	C191	FR 2,781,617 A1	1/28/2000	France		No
	C192	JP 5326062 A	12/10/1993	Japan		No
	C193	JP 2000-59877 A	2/28/2000	Japan		No
	C194	JP 3140740 B2	3/5/2001	Japan		No
	C195	JP 2002-028246	01/29/2002	Japan		Abstract
	C196	WO 87/06474	11/5/1987	WIPO		
	C197	WO 93/05840	4/1993	WIPO		
	C198	WO 95/28327 A	10/26/1995	WIPO		
	C199	WO 96/35472 A1	11/14/1996	WIPO		Abstract
	C200	WO 00/02614	1/20/2000	WIPO		
	C201	WO 00/03757	1/27/2000	WIPO		
	C202	WO 00/44324 A1	8/3/2000	WIPO		
	C203	WO 01/68180	9/20/2001	WIPO		
	C204	WO 02/094352	11/28/2002	WIPO		
	C205	WO 02/100457	12/19/2002	WIPO		
	C206	WO 02/068014	1/23/2003	WIPO		
	C207	WO 03/015860 A1	2/27/2003	WIPO		
	C208	WO 03/026728	4/3/2003	WIPO		
✓	C209	WO 04/030726 A	4/15/2004	WIPO		

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>

EXAMINER <i>mmj</i>	DATE CONSIDERED <i>11/28/05</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449	SERIAL NO. 10/687,568	CASE NO. 8465/40
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE October 15, 2003	GROUP ART UNIT 3763
(use several sheets if necessary)		APPLICANT(S): Mogensen et al.

# REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>msh</i>	D1	2,952,420	9/13/1960	Von Hoorn		
	D2	4,146,113	3/27/1979	Gavel		
	D3	4,150,798	4/24/1979	Aragon		
	D4	4,306,705	12/22/1981	Svenson		
	D5	4,406,042	9/27/1983	McPhee		
	D6	4,576,846	3/18/1986	Noel		
	D7	4,606,735	8/19/1986	Wilder et al.		
	D8	4,662,873	5/5/1987	Lash et al.		
	D9	4,895,570	1/23/1990	Larkin		
	D10	5,316,246	5/31/1994	Scott et al.		
	D11	5,388,931	2/14/1995	Carlson		
	D12	5,975,120	11/2/1999	Novosel		
✓	D13	6,105,218	8/22/2000	Reekie		

# FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
<i>msh</i>	D14	DT 26 20 009 A1	12/1/1977	Germany		No
	D15	DE 28 03 509	8/2/1979	Germany		No
	D16	DE 298 18 311 U1	3/11/1999	Germany		No
	D17	DE 299 21 406	1/11/2001	Germany		No
	D18	DE 101 06 074 A1	6/6/2002	Germany		Abstract
	D19	EP 0 633 039	7/2/1994	Europe		Abstract
	D20	EP 0 916 361 A1	5/19/1999	Europe		Abstract
	D21	EP 1 060 757 A1	12/20/2000	Europe		Abstract
	D22	EP 0 775 501	6/5/2002	Europe		
	D23	FR 576,849	8/27/1924	France		No
	D24	GB 478,803	1/25/1938	Great Britain		
	D25	JP 9217584 A	9/19/1997	Japan		Abstract
	D26	WO 93/03787	3/4/1993	WIPO		
	D27	WO 99/36009	7/22/1999	WIPO		
	D28	WO 99/56802	11/11/1999	WIPO		
	D29	WO 02/46080	6/13/2002	WIPO		
✓	D30	1 403 034	8/13/1975	United Kingdom		

EXAMINER <i>msh</i>	DATE CONSIDERED <i>11/28/05</i>
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